



# Investment Capital and Subsidiary Capital Schedules

Name	Employer identification number								
Combined parent corporation name	Parent employer identification number								

This form is required to be completed for each corporation in the combined group with investment and subsidiary capital.

For instructions for this form see Form CT-3-A-I, Instructions for Forms CT-3-A, CT-3-A/ATT and CT-3-A/B.

Attach this form to Form CT-3-A, General Business Corporation Combined Franchise Tax Return.

### Schedule A — Section I - Investment Capital Information (breakdown of information on Form CT-3-A, line 223)

Section I - Corporate and Governmental Debt Instruments	B Maturity Date	C Average Value	D Liabilities Directly or Indirectly Attributable to Investment Capital	E Net Average Value (col. C - col. D)	F Issuer's Allocation %	G Value Allocated to New York State (col. E × col. F)
<b>A</b> Description of Investment (identify each item; attach separate sheet if necessary)						
	/ /					
Amounts from attached list						
<b>1</b> Section I total (enter here and on line 3)	1					

### Schedule A — Section II – Investment Capital Information (breakdown of information on Form CT-3-A, line 224)

Section II - Corporate Stock, Stock Rights, Stock Warrants and Stock Options	B Number of Shares	C Average Value	D Liabilities Directly or Indirectly Attributable to Investment Capital	E Net Average Value (col. C - col. D)	F Issuer's Allocation %	G Value Allocated to New York State (col. E × col. F)
A Description of Investment (identify each item; attach separate sheet if necessary)						
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Amounts from attached list						
2 Section II total (enter here and on line 4)	2					

#### CT-3-A/ATT (1998) (back)

## Schedule A — Section III - Computation of Investment Capital (breakdown of information on

Form CI-3-A, line 225)						
		<b>C</b> Average Value	D Liabilities Directly or Indirectly Attributable to Investment Capital	E Net Average Value (col. C — col. D)	<b>F</b> Issuer's Allocation %	G Value Allocated to New York State (col. E × col. F)
3 Section I total (from line 1)	3					
4 Section II total (from line 2)	4					
5 Total (add lines 3 and 4 in columns C, D, E and G)	5					
6 Cash (optional)	6					
7 Investment capital (add lines 5 and 6 in columns C, D and E)	7					

# Schedule B — Computation and Allocation of Subsidiary Capital Base (breakdown of information listed on Form CT-3-A, lines 247 through 250)

A Description of Subsidiary Capital		<b>B</b> % of	<b>C</b> Average	<b>D</b> Liabilities	E Net Average	F Issuer's	<b>G</b> Value Allocated
List the name of each corporation (attach separate sheet if necessary)	Employer Identification Number	Voting Stock Owned	Value	Directly or Indirectly Attributable to Subsidiary Capital	Value (col. C — col. D)	Allocation %	to New York State (col. E × col. F)
Amounts from attached list							
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8 Totals (add amounts in columns C and D)						l	
9 Total net average value of subsidiary ca		9					
10 Subsidiary capital base (add amounts in column G)							

A parent corporation filing Form CT-3-A/ATT should transfer the appropriate amounts from Schedule A to Form CT-3-A, lines 223, 224, and 227, Column A, and the appropriate amounts from Schedule B to Form CT-3-A, lines 247 through 250, Column A.

A subsidiary corporation filing Form CT-3-A/ATT, when there is only one subsidiary in the combined group, should transfer the appropriate amounts from Schedule A to Form CT-3-A, lines 223, 224, and 227, Column B, and the appropriate amounts from Schedule B to Form CT-3-A, lines 247 through 250, Column B.

Subsidiary corporations filing Form CT-3-A/ATT, when there is more than one subsidiary in the combined group, should transfer the appropriate amounts from Schedule A to Form CT-3-A/B, lines 223, 224, and 227, and the appropriate amounts from Schedule B to Form CT-3-A/B, lines 247 through 250, in the column for the proper subsidiary.