

August 2001

(in thousands)

State Taxes	August 2001			Fiscal Year 2001-02		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$1,668,377	\$94,021	\$1,574,356	\$16,446,828	\$1,902,017	\$14,544,811
Total Gross Collections	1,668,377	---	1,668,377	12,929,404	---	12,929,404
Less: Refunds and Minor Offsets	---	94,083	94,083	---	1,797,732	1,797,732
Less: State/City Offsets	---	(62)	(62)	---	104,285	104,285
Net Collections, before Refund Reserve	---	---	1,574,356	---	---	11,027,387
Refund Reserve Transactions	---	---	---	3,517,424	0	3,517,424
User Taxes 1/	\$787,542	\$6,073	\$781,469	\$4,186,745	\$36,166	\$4,150,579
Sales & Use 2/	614,148	2,774	611,374	3,353,009	21,105	3,331,904
Motor Fuel	46,603	1,990	44,613	230,030	6,742	223,288
Cigarette/Tobacco Products 3/	100,219	1,219	99,000	455,010	7,200	447,810
Alcoholic Beverage	13,547	0	13,547	74,863	1	74,862
Highway/Fuel Use	12,994	90	12,904	67,323	1,118	66,205
Containers	0	0	0	0	0	0
Auto Rental	31	0	31	6,509	0	6,509
Business Taxes	\$157,997	\$29,096	\$128,901	\$1,747,415	\$228,216	\$1,519,199
Corporation Franchise	39,917	21,792	18,125	692,128	173,000	519,128
Corporation & Utilities	8,410	1,074	7,336	278,911	19,927	258,984
Banks	7,690	3,635	4,055	184,819	9,023	175,796
Insurance (Article 33)	17,450	1,573	15,877	162,492	11,859	150,633
Direct Writings	590	1	589	4,028	38	3,990
Petroleum 4/	83,940	1,021	82,919	425,037	14,369	410,668
Other Revenues	\$94,884	\$2,229	\$92,655	\$479,988	\$15,772	\$464,216
Real Property Gains	141	0	141	3,463	0	3,463
Estate & Gift	67,707	2,229	65,478	314,226	15,730	298,496
Real Estate Transfer	23,525	0	23,525	149,290	42	149,248
Pari-Mutuel (w/o Uncashed Tickets)	3,444	0	3,444	12,734	0	12,734
Other (Racing Admissions & Boxing)	67	0	67	275	0	275
Total - State Taxes 1/	\$2,708,800	\$131,419	\$2,577,381	\$22,860,976	\$2,182,171	\$20,678,805

Local Taxes	August 2001			Fiscal Year 2001-02		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$6,611	\$2,627	\$3,984	\$173,839	\$19,976	\$153,863
New York City Alcoholic Beverage	1,818	1	1,817	8,898	6	8,892
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	320,554	22,996	297,558	2,713,339	461,274	2,252,065
Total Gross Collections	320,554	---	320,554	2,607,823	---	2,607,823
Less: Refunds and Minor Offsets	---	22,996	22,996	---	461,274	461,274
Plus: State/City Offsets	0	---	0	105,516	---	105,516
Sales & Use (Includes M.A.C.)	662,998	2,983	660,015	3,583,736	22,687	3,561,049
Stock Transfer (to NYC; all eligible for rebate)	24	0	24	1,582,710	0	1,582,710
Yonkers Personal Income - Net (Adjusted)	1,228	100	1,128	6,850	2,502	4,348
Total Gross Collections	1,290	---	1,290	8,081	---	8,081
Less: Refunds and Minor Offsets	---	100	100	---	2,502	2,502
Plus: State/City Offsets	(62)	---	(62)	(1,231)	---	(1,231)
Total-Local Taxes	\$993,233	\$28,707	\$964,526	\$8,069,372	\$506,445	\$7,562,927
Total State & Local Taxes 1/	\$3,702,033	\$160,126	\$3,541,907	\$30,930,348	\$2,688,616	\$28,241,732

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

August 2001	Fiscal Year 2001-02
\$0	\$1

2/ Includes tax on informational and entertainment services (900 numbers):

August 2001	Fiscal Year 2001-02
\$1	\$771

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

August 2001

(in thousands)

State Taxes	Net Collections		Difference	
	August 2000	August 2001	Amount	Percent
Personal Income Tax 1/	\$1,587,158	\$1,574,356	(\$12,802)	(0.8)
User Taxes 2/	\$791,101	\$781,469	(\$9,603)	(1.2)
Sales and Use 3/	628,191	611,374	(16,817)	(2.7)
Motor Fuel	43,875	44,613	738	1.7
Cigarette/Tobacco Products 4/	92,414	99,000	6,586	7.1
Alcoholic Beverage	12,479	13,547	1,068	8.6
Highway/Fuel Use 1/	14,028	12,904	(1,124)	(8.0)
Containers (repealed 10/1/98)	0	0	0	NA
Auto Rental	85	31	(54)	(63.5)
Business Taxes	\$147,828	\$128,901	(\$18,927)	(12.8)
Corporation Franchise	72,373	18,125	(54,248)	(75.0)
Corporation & Utilities 1/	(821)	7,336	8,157	993.5
Banks	126	4,055	3,929	3,118.3
Insurance (Article 33)	(3,235)	15,877	19,112	590.8
Direct Writings	369	589	220	59.6
Petroleum 1/, 5/	79,016	82,919	3,903	4.9
Other Revenues	\$111,851	\$92,655	(\$19,196)	(17.2)
Real Property Gains (repealed 6/15/96)	624	141	(483)	(77.4)
Estate & Gift 1/	73,102	65,478	(7,624)	(10.4)
Real Estate Transfer	33,915	23,525	(10,390)	(30.6)
Pari-Mutuel	4,119	3,444	(675)	(16.4)
Other (Racing Adms & Boxing)	91	67	(24)	(26.4)
Total - State Taxes 2/	\$2,637,938	\$2,577,381	(\$60,528)	(2.3)

1/ See page 2 for component detail.

Personal Income Tax	Net Collections		Difference	
	August 2000	August 2001	Amount	Percent
Withholding	\$1,526,960	\$1,535,544	\$8,584	0.6
Estimated Tax	43,303	38,051	(5,252)	(12.1)
Final Payments	35,652	41,099	5,447	15.3
Delinquencies	46,497	53,483	6,986	15.0
Limited Liability Company Fees	145	200	55	37.9
Total Gross Collections	\$1,652,557	\$1,668,377	\$15,820	1.0
Refunds/Minor Offsets	65,364	94,083	28,719	43.9
State/City Offsets	35	(62)	(97)	(277.1)
Net, before Refund Reserve	\$1,587,158	\$1,574,356	(\$12,802)	(0.8)
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$1,587,158	\$1,574,356	(\$12,802)	(0.8)
Other Component Detail				
Highway/Fuel Use	\$14,028	\$12,904	(\$1,124)	(8.0)
Highway Use	13,142	11,857	(1,285)	(9.8)
Truck Mileage	12,763	11,382	(1,381)	(10.8)
Permits	379	475	96	25.3
Fuel Use	886	1,047	161	18.2
Article 21	34	16	(18)	(52.9)
Article 21A IFTA	847	1,019	172	20.3
IFTA Decal Fees & Trip Permits	5	12	7	140.0
Corporation & Utilities 6/	(\$821)	\$7,336	\$8,157	993.5
Article 9 (excl sec. 180, 186-A, A&E & P)	1,280	3,038	1,758	137.3
Utilities (section 186-A, A&E & P)	(2,101)	4,298	6,399	304.6
Petroleum	\$79,016	\$82,919	\$3,903	4.9
Article 13-A (prior to 9/1/90)	(1)	7	8	800.0
Article 13-A (after 9/1/90)	79,017	82,911	3,894	4.9
Article 9, Section 182-A	0	0	0	NA
Lubricating Oils (repealed 9/1/94)	0	1	1	NA
Estate & Gift	\$73,102	\$65,478	(\$7,624)	(10.4)
Estate	71,917	64,945	(6,972)	(9.7)
Gift	1,185	533	(652)	(55.0)

Local Taxes	Net Collections		Difference	
	August 2000	August 2001	Amount	Percent
M.T.A. Corporate Surcharge	\$17,367	\$3,984	(\$13,383)	(77.1)
New York City Alcoholic Beverage	1,633	1,817	184	11.3
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	323,108	297,558	(25,550)	(7.9)
Sales & Use (Includes M.A.C.)	641,027	660,015	18,988	3.0
Stock Transfer (to NYC; all rebatable)	43	24	(19)	(44.2)
Yonkers Personal Income	993	1,128	135	13.6
Total-Local Taxes	\$984,171	\$964,526	(\$19,645)	(2.0)
Total State & Local Taxes	\$3,622,109	\$3,541,907	(\$80,173)	(2.2)

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

August 2000	August 2001
\$29	\$0

3/ Includes tax on informational and entertainment services (900) numbers:

August 2000	August 2001
\$11	\$1

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Reflects adjustments to prior periods posted during August 2001.

August 2001

(in thousands)

State Taxes	Net	Estimated	Net Collections		Difference	
	Collections	Receipts 7/	Year-to-Date		Amount	Percent
	2000-2001	2001-2002	2000-2001	2001-2002		
Personal Income Tax 1/	\$26,892,084	\$0	\$13,972,909	\$14,544,811	\$571,902	4.1
User Taxes 2/	\$10,317,661	\$0	\$4,164,144	\$4,150,579	(\$13,565)	(0.3)
Sales and Use 3/	8,408,829	0	3,373,014	3,331,904	(41,110)	(1.2)
Motor Fuel	510,324	0	218,738	223,288	4,550	2.1
Cigarette/Tobacco Products 4/	1,023,770	0	425,089	447,810	22,721	5.3
Alcoholic Beverage	179,346	0	73,263	74,862	1,599	2.2
Highway/Fuel Use 1/	155,075	0	67,580	66,205	(1,375)	(2.0)
Containers (repealed 10/1/98)	7	0	6	0	(6)	(100.0)
Auto Rental	40,300	0	6,453	6,509	56	0.9
Business Taxes	\$5,243,970	\$0	\$1,539,338	\$1,519,199	(\$20,139)	(1.3)
Corporation Franchise	2,335,501	0	639,171	519,128	(120,043)	(18.8)
Corporation & Utilities 1/	881,714	0	239,031	258,984	19,953	8.3
Banks	505,476	0	144,157	175,796	31,639	21.9
Insurance (Article 33)	547,370	0	118,628	150,633	32,005	27.0
Direct Writings	2,812	0	713	3,990	3,277	459.6
Petroleum 1/, 5/	971,097	0	397,638	410,668	13,030	3.3
Other Revenues	\$1,199,499	\$0	\$601,372	\$464,216	(\$137,156)	(22.8)
Real Property Gains (repealed 6/15/96)	6,184	0	2,037	3,463	1,426	70.0
Estate & Gift 1/	758,523	0	408,478	298,496	(109,982)	(26.9)
Real Estate Transfer	404,745	0	176,464	149,248	(27,216)	(15.4)
Pari-Mutuel	29,346	0	14,114	12,734	(1,380)	(9.8)
Other (Racing Adms & Boxing)	701	0	279	275	(4)	(1.4)
Total - State Taxes 2/	\$43,653,214	\$0	\$20,277,763	\$20,678,805	\$401,042	2.0

1/ See page 2 for component detail.

(in thousands)

Personal Income Tax	Net	Estimated	Net Collections		Difference	
	Collections 2000-2001	Receipts 7/ 2001-2002	Year-to-Date		Amount	Percent
Withholding	\$20,955,093	\$0	\$7,456,502	\$7,673,747	\$217,245	2.9
Estimated Tax	6,873,968	0	2,946,020	3,307,978	361,958	12.3
Final Payments	1,662,751	0	1,416,293	1,635,094	218,801	15.4
Delinquencies	558,485	0	251,432	294,549	43,117	17.1
Limited Liability Company Fees	21,268	0	14,969	18,036	3,067	20.5
Total Gross Collections	\$30,071,565	\$0	\$12,085,216	\$12,929,404	\$844,188	7.0
Refunds/Minor Offsets	3,459,643	0	1,992,303	1,797,732	(194,571)	(9.8)
State/City Offsets	169,388	0	86,978	104,285	17,307	19.9
Net, before Refund Reserve	\$26,442,534	\$0	\$10,005,935	\$11,027,387	\$1,021,452	10.2
Refund Reserve Transactions	449,550	0	3,966,974	3,517,424	(449,550)	(11.3)
Net, after Refund Reserve	\$26,892,084	\$0	\$13,972,909	\$14,544,811	\$571,902	4.1
Other Component Detail						
Highway/Fuel Use	\$155,075	\$0	\$67,580	\$66,205	(\$1,375)	(2.0)
Highway Use	126,444	NA	57,677	55,938	(1,739)	(3.0)
Truck Mileage	122,261	NA	55,511	54,351	(1,160)	(2.1)
Permits	4,183	NA	2,166	1,587	(579)	(26.7)
Fuel Use	28,631	NA	9,903	10,267	364	3.7
Article 21	557	NA	243	72	(171)	(70.4)
Article 21A IFTA	27,581	NA	9,610	10,131	521	5.4
IFTA Decal Fees & Trip Permits	493	NA	50	64	14	28.0
Corporation & Utilities 6/	\$881,714	\$0	\$239,031	\$258,984	\$19,953	8.3
Article 9 (excl sec. 180, 186-A, A&E & P)	94,094	NA	63,908	18,169	(45,739)	(71.6)
Utilities (section 186-A, A&E & P)	787,620	NA	175,123	240,815	65,692	37.5
Petroleum	\$971,097	\$0	\$397,638	\$410,668	\$13,030	3.3
Article 13-A (prior to 9/1/90)	61	NA	20	33	13	65.0
Article 13-A (after 9/1/90)	971,027	NA	397,616	410,632	13,016	3.3
Article 9, Section 182-A	(1)	NA	(1)	0	1	100.0
Lubricating Oils (repealed 9/1/94)	10	NA	3	3	0	0.0
Estate & Gift	\$758,523	\$0	\$408,478	\$298,496	(\$109,982)	(26.9)
Estate	717,088	NA	385,634	295,126	(90,508)	(23.5)
Gift	41,435	NA	22,844	3,370	(19,474)	(85.2)

Local Taxes	Net	Estimated	Net Collections		Difference	
	Collections	Receipts 7/	Year-to-Date		Amount	Percent
	2000-2001	2001-2002	2000-2001	2001-2002		
M.T.A. Corporate Surcharge	\$563,267	---	\$160,622	\$153,863	(\$6,759)	(4.2)
New York City Alcoholic Beverage	21,534	---	9,850	8,892	(958)	(9.7)
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,567,959	---	2,216,837	2,252,065	35,228	1.6
Sales & Use (Includes M.A.C.)	8,979,485	---	3,515,846	3,561,049	45,203	1.3
Stock Transfer (to NYC; all rebatable)	7,631,765	---	1,850,428	1,582,710	(267,718)	(14.5)
Yonkers Personal Income	13,296	---	6,340	4,348	(1,992)	(31.4)
Total-Local Taxes	\$22,777,306	---	\$7,759,923	\$7,562,927	(\$196,996)	(2.5)
Total State & Local Taxes	\$66,430,520	---	\$28,037,686	\$28,241,732	\$204,046	0.7

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

2000-2001	2001-2002
\$1	\$1

3/ Includes tax on informational and entertainment services (900) numbers:

2000-2001	2001-2002
\$668	\$771

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Reflects adjustments to prior periods posted during August 2001.

7/ Estimated receipts are not available.